



SARASIN INVESTMENT FUNDS LIMITED

Application Form

The Alpha Common Investment Fund for Endowments

A Common Investment Fund. Registered Charity Number 1025527

The Alpha Common Investment Fund for Income & Reserves

A Common Investment Fund. Registered Charity Number 1110710

FOR REGISTERED CHARITIES ONLY



SARASIN
INVESTMENT FUNDS

Where Applications are by fax a signed Application Form must also be sent by post. Please note that we will not execute your instruction until we have received cleared funds.

Application	I/We* hereby apply for units in the Fund ("Units") at the price ruling on the Valuation Date relating to this application and for [our name(s)] [the name(s) of our nominee(s)] to be entered on the register upon and subject to the terms and conditions of the trust deed constituting the Fund (the "Trust Deed").				
		Amount (Cash)	OR	No. of Units	Type of Unit
				Accm.	Dist.
	Alpha Common Investment Fund for Endowments	<input type="text"/>		<input type="text"/>	<input type="text"/> <input type="text"/>
	Alpha Common Investment Fund for Income & Reserves	<input type="text"/>		<input type="text"/>	<input type="text"/> <input type="text"/>

Charity Particulars	PARTICULARS OF CHARITY (BLOCK CAPITALS)	
	Full Name of Charity:	<input type="text"/>
	Charity Status:	<input type="text"/>
	Charity Registration No. / Inland Revenue Number:	<input type="text"/>
	Registered Address of Charity:	<input type="text"/> <input type="text"/>
	Contact Name:	<input type="text"/>
	Daytime Telephone No.:	<input type="text"/>
	<ul style="list-style-type: none"> Please state the official name of the Charity, as recorded by The Charity Commission for England and Wales, or as detailed on the Charity Trust Deed or documentation establishing the charitable status of the organisation. Please confirm the status of the Charity, i.e. if the Charity is registered with The Charity Commission for England and Wales or if it is exempt or excepted from registration. To confirm the charitable status of the organisation, please supply us with either the charity registration number issued by The Charity Commission for England and Wales or the Inland Revenue reference number issues by the Charity Title Section, Inland Revenue (Charities), St. John's House, Bootle, Merseyside. 	

Registration Details	Please note that the holding will be registered in the name of the Charity as detailed in the Charity Particulars section. However, if you would like to include a designation please state below:	
	Account Designation: (max 11 characters)	<input type="text"/>
	The section below is only to be completed if the investment is to be registered in a third party nominee name. Please ensure the application form is signed by at least two authorised nominee company signatories.	
	Name of Nominee Company:	<input type="text"/>
	Correspondence Address:	<input type="text"/> <input type="text"/>

Payment Details	Please provide details of your payment method. Please note that we will not place any instruction until we are in receipt of cleared funds.	
	<input type="checkbox"/>	Please tick here if you are investing via a cheque made payable to "Sarasin Investment Funds Ltd."
	<input type="checkbox"/>	Please tick here if you are sending subscription monies via bank transfer. Please note all subscription monies should be paid to the following bank account:
		Royal Bank of Scotland Sortcode: 16-04-00 Account No: 20018870 A/c name: Sarasin Investment Funds Ltd. Please quote the Charity Name as reference.
	If you are sending subscription monies via bank transfer, please provide details of the bank account from which the monies will be transferred.	
	Bank/Building Society	Branch Sort Code
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>	
City	Swift Code	
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Country	Bank/Building Society Account number	
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
	Account Number	
	<input type="text"/>	

If you wish to receive income from your investment, please complete this section.*(This section is applicable to the purchase of Distribution units only)*If the bank account into which you wish to receive Income is the same as the remitting bank stated overleaf please tick here.

If you would like to receive Income into a different bank account please complete the details below.

Bank/Building Society

Branch Sort Code

Address

Swift Code

Bank/Building Society Account number

Country

Account Name

If you wish to receive your income by cheque in the name of the registered Charity please tick here: **Indemnity Declaration and Signatories**

Please also complete the names and addresses of all those signing on behalf of the Charity. An incorporated Charity should sign under seal if applicable. For additional signatories, please include details on a separate sheet of paper. **Please note that only Charities established under the laws of England and Wales are permitted to invest in these Funds.**

I/we hereby warrant that:

- The units to which this application relates are and will at all times be held on behalf of the Charity:-
 - the income of which is applied for charitable purpose only;
 - the charity is registered with the Charity Commission for England and Wales and/or is registered with the Inland Revenue Charities, Bootle, Merseyside under the Charity and/or Inland Revenue Number as stated within the Charity Particulars section of this application form.
- The Charity is not precluded from participating in Common Investment Schemes.
- The Charity is eligible to invest in the Alpha Common Investment Fund for Endowments and/or the Alpha Common Investment Fund for Income & Reserves ('the Funds') under the Scheme Particulars. The Charity hereby indemnifies each of the Trustee and Manager of the Funds against any liabilities and/or costs arising out of the Charity ultimately being found to be ineligible.
- The persons signing below are duly authorised to sign on behalf of the Charity; and
- We will inform Sarasin Investment Funds Ltd. immediately should the Charity cease to qualify for 1) or 2) and 3) above, at which time disinvestment from the Funds will be required.

This warranty shall be deemed to be repeated in respect of each additional contribution to the Funds. We will also notify Sarasin Investment Funds Ltd. in writing of any new specimen signature and his/her capacity should be supplied.

1. First Authorised Signatory

Signature

Date

Capacity/Role

Full Name

Address

2. Second Authorised Signatory

Signature

Date

Capacity/Role

Full Name

Address

3. Third Authorised Signatory

Signature

Date

Capacity/Role

Full Name

Address

4. Fourth Authorised Signatory

Signature

Date

Capacity/Role

Full Name

Address

- Please ensure the application form is signed by at least two authorised signatories that are authorised to sign on behalf of the Charity.
- Please print the above signatories FULL NAMES for our records.
- Please ensure the address of the signatories to which we may write is printed in full.
- The power of attorney or other authority (if any) under which this form is signed, or a copy of such power or authority certified in accordance with the Powers of Attorney Act 1971, must be enclosed with this form.

Data Protection

Information needed to carry out our agreement with you.

Your personal details will be held by us in accordance with current data protection law for the purposes of carrying out our agreement with you. You hereby consent that this may include the transfer of such data (i) to other members of the Sarasin group and (ii) to other businesses (including their offices outside the European Union) where the transfer is necessary for the provision of services in relation to any of the Sarasin group's investment products or services.

By signing the application form you agree that you may be sent information about the Sarasin group's other investment products and services. We will not sell or pass on your details to any other party.

If you do not wish to give this consent, please tick this box:

If you wish to exercise your rights to receive a copy of the information that we hold about you please write to us at:

Sarasin Fund Operations, Juxon House, 100 St. Paul's Churchyard, London, EC4M 8BU

E-community Access

Please tick here if you would like access to the Alpha E-community (www.alpacif.com) which will provide investors online information about the Funds, their investment strategies, literature and publications together with links to a variety of useful websites.

After your application has been processed, we will contact you regarding the set up of a user name and password and forward a copy of our Terms and Conditions for your signature.

Please tick here if you are interested in receiving additional Alpha and other Sarasin Chiswell publications electronically.

Email Address:

Before we are able to process your application, you are required to provide the following documentation attached to this application.

Section A - Charity Identification

- 1. Please provide a copy of the Charities constitution document; AND
- 2. Provide the identification documentation as per Section B and C below for each principal signatory to the account; AND
- 3. Provide a written confirmation of the signatories' authority to act on behalf of the Charity (if not already stated in the constitutional document provided)
- 4. Provide a copy of the Trustee's and (where applicable) the nominee company's authorised signatory list

Section B - Personal Identity for Authorised Signatories

In order to evidence the personal identity of each of the principal signatories to the account please submit ONE of the following:

(Please do not provide copies in colour. We can only accept black and white certified true copies which must be certified by a Sarasin staff member or a solicitor, an accountant, an authorised bank representative or a notary public)

- 1. Current, signed Full Passport
- 2. Photo Driving Licence
- 3. National Identity card with photo
- 4. Birth Certificate (for those with no photo ID document only)

Section C - Evidence of Authorised Signatories current address

In order to evidence your address please submit ONE of the following and tick the relevant box:

- 1. Original Utility Bill (not over 3 months old) or National Identity Card with address written on it (you cannot use this as an address verification documentation if you have used it as evidence of identity)
- 2. Local Authority Tax Bill
- 3. Current UK Photo Driving Licence - if not used for evidence of personal identity
- 4. Bank, building society or credit union statment of passbook containing current address
- 5. The most recent original mortgage statement from a recognised lender
- 6. Solicitor's letter confirming recent house purchase or land registry confirmation